



Approved: April 6, 2023
Next Scheduled Review: April 6, 2028

Rule Summary

Texas A&M University-Texarkana (A&M-Texarkana) encourages its employees to establish global engagements in the pursuit of mutually beneficial fundamental scientific inquiry, cultural, or educational opportunities. These activities strengthen the academic and research programs. This rule intends to outline the steps necessary to ensure appropriate administration of these engagements and collaborations that are determined to be high risk, as defined in The Texas A&M University [System \(System\) Regulation 15.05.04, High Risk Global Engagements and High Risk International Collaborations](#).

Rule

1. AUTHORIZATION

The Associate Provost, acting as the Empowered Official (EO) and Research Compliance Officer (RCO), shall have the authority to review and submit High Risk Global Engagements or High Risk International Collaborations to System for final approval.

2. REVIEW OF HIGH RISK GLOBAL ENGAGEMENTS AND HIGH RISK INTERNATIONAL COLLABORATIONS

2.1 All international engagements and international collaborations shall be reviewed by the Export Control Office prior to execution.

2.1.1 The Export Control Office shall review the international engagement or international collaboration for any potential conflicts of commitment, conflicts of interest, export control, or undue foreign influence concerns related to the proposed engagement or collaboration. These concerns must be addressed or resolved prior to any request for approval from the System Research Security Office (RSO).

2.1.2 The Export Control Office shall ensure that export control training is current for all employees involved in the proposed engagement or collaboration prior to any request for approval from the System RSO.

2.1.3 Restricted Party Screening shall be completed for all Foreign Persons involved in the proposed international engagement or international collaboration and returned to the satisfaction of the EO.

2.1.4 The Export Control Office shall then provide approval unless the international engagement or international collaboration involves a Country of Concern. If the activity (High Risk Global Engagement or High Risk International Collaboration) involves a Country of Concern, the Export Control Office shall submit the request to System RSO for review and approval as outlined in section 2.2.

2.2 Any High Risk Global Engagement or High Risk International Collaboration shall be submitted to the System RSO for risk review, the System Office of General Counsel (OGC) for legal review and ultimately the vice chancellor for research for final approval prior to execution.

2.2.1 A&M-Texarkana Research Compliance Offices is responsible for all record retention.

3. TRAINING

All employees at A&M-Texarkana shall be trained in Export Controls via TrainTraq. This training will be assigned automatically upon hire and be repeated on a two-year cycle.

Related Statutes, Policies, or Requirements

[Section 117 of the Higher Education Act of 1965, 20 U.S.C. 1011f](#)

[International Traffic in Arms Regulations \(ITAR\) 22.CFR.120-130](#)

[Export Administration Regulations \(EAR\) 15.CFR.730-774](#)

[System Policy 15.02, *Export Controls Program Management*](#)

[System Policy 15.05, *System Research Security Office*](#)

[System Regulation 15.05.04, *High Risk Global Engagements and High Risk International Collaborations*](#)

[System Policy 25.07, *Contract Administration*](#)

[System Regulation 25.07.01, *Contract Administration, Delegations and Reporting*](#)

[System Regulation 31.05.01, *Faculty Consulting and/or External Professional Employment*](#)

[University Rule 15.01.03.H1, *Financial Conflicts of Interest in Sponsored Research*](#)

[University Rule 15.02.99.H1, *Export Controls*](#)

Definitions

Contract – an agreement that creates an obligation to do or not do a particular thing, as defined in System Policy 25.07. This includes unfunded agreements.

Country of Concern or Countries of Concern – Country or countries designated by System Research Security Office as “of concern” for purposes of System Regulation 15.05.04 based on U.S. government annual reports to Congress and guidance from federal partners including the Defense Counterintelligence and Security Agency.

Foreign Persons – any natural person who is not: a lawful permanent resident of the United States, citizen of the United States, or any other protected individual as defined by 8 U.S.C. 1324b(a)(3). It also means any corporation, business association, partnership, trust, society or any other entity or group that is not incorporated in the United States or organized to do business in the United States, as well as international organizations, foreign governments and any agency or subdivision of a foreign government (e.g., diplomatic mission).

High Risk Global Engagement – contracts with one or more Foreign Persons based outside the United States in a Country of Concern.

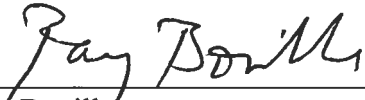
High Risk International Collaboration – any of the following relationships with Foreign Persons based outside the United States in a Country of Concern: student and faculty exchanges; placements abroad; unfunded research relationships; technical assistance; unfunded professional development, training, and services; unfunded outside appointments, honorary positions, peer review, or distinguished positions; unfunded outside support including but not limited to lab space or other resources, provided either physically or virtually; activities with Foreign Persons traditionally compensated by a payment, honorarium, or paid travel expenses.

Contact Office

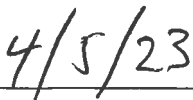
Research Compliance and
Export Control Office
903.334.6629

System Approvals*

Approved for Legal Sufficiency:



Ray Bonilla
General Counsel




Date

Approved:



John Sharp
Chancellor



Date

***System approvals are contingent upon incorporation of any and all System-required changes in the rule's final posting.**